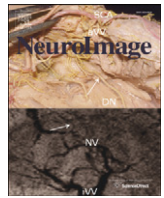




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# The acute phase of Wallerian degeneration: Longitudinal diffusion tensor imaging of the fornix following temporal lobe surgery

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## ARTICLE INFO

### Article history:

Accepted 31 January 2013

Available online xxx

### Keywords:

Wallerian degeneration  
Diffusion tensor imaging  
Epilepsy  
Parallel diffusivity  
Perpendicular diffusivity  
Fractional anisotropy

## ABSTRACT

Numerous animal studies have shown the applicability of diffusion tensor imaging (DTI) to track Wallerian degeneration that occurs after injury to the neural fiber. Non-invasive biomarkers that may differentiate the early axonal breakdown and later myelin degradation have been attributed to either reduced parallel and elevated perpendicular diffusivity, respectively. While several human DTI studies have shown this potential at subacute and chronic time points, the diffusion changes that occur within the first week are unknown. Anterior temporal lobectomy (i.e. resection of hippocampus) is the standard surgical treatment of medically refractory temporal lobe epilepsy. The concomitant transection of the fimbria-fornix serves as a unique opportunity to examine the process of Wallerian degeneration since the timing is known. Six temporal lobe epilepsy patients underwent brain DTI before the surgery, three to four times within the first week post-operatively, and at one to four months following surgery. Both parallel and perpendicular diffusivities decreased markedly by a similar amount in the ipsilateral fornix within the first two days post-surgery. Approaching the end of the first week, perpendicular (but not parallel) diffusivity pseudo-recovered towards its pre-surgical value, but then increased dramatically months later. Fractional anisotropy, as a result of the combined action of the parallel and perpendicular diffusivities, stayed relatively stable within the first week and only reduced drastically at the chronic stage. DTI demonstrated acute water diffusion changes within days of transection that are not just limited to parallel diffusivity. While the chronic diffusion changes in the fornix are compatible with myelin degradation, the acute changes may reflect beading and swelling of axolemma, granular disintegration of the axonal neurofilaments, ischemia induced cytotoxic edema, and/or changes in the extra-axonal space including inflammatory changes and gliosis.

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## Introduction

Non-invasive measures of water diffusion and its anisotropy in neural fibers have long been shown to be a sensitive indicator of micro-structural changes associated with Wallerian degeneration (Beaulieu et al., 1996; Ford et al., 1994). These studies in both central and peripheral nervous systems demonstrated concurrent reductions of parallel diffusivity (axial,  $\lambda_{\parallel}$ ) and increases of perpendicular diffusivity (radial,  $\lambda_{\perp}$ ) yielding reductions in anisotropy that were linked with histology to both axonal injury and demyelination. However, the diffusion measurements were performed late at 7 days after the spinal cord injury in rats (Ford et al., 1994) or after 1 month for the sciatic nerve injury in frogs (Beaulieu et al., 1996). This combined

set of diffusion changes was also observed in ipsilateral internal capsule in chronic stroke patients (Pierpaoli et al., 2001).

Serial measurements including early time points in an optic nerve injury model led to the observation that the individual diffusivities may yield more specific tissue indicators as the reduction of  $\lambda_{\parallel}$  early post retinal ischemia, without a change in  $\lambda_{\perp}$ , correlated with axonal damage and the elevation of  $\lambda_{\perp}$  later was associated with myelin breakdown (Song et al., 2003). This diffusion eigenvalue hypothesis has been supported by similar observations in other experimental models including trauma, multiple sclerosis and stroke (Budde et al., 2008; Kozłowski et al., 2008; Mac Donald et al., 2007a; Sun et al., 2008; Zhang et al., 2009).

Since a series of histological examinations are not generally applicable in humans in vivo, could diffusion tensor imaging (DTI) provide similar insights into the progression of Wallerian degeneration that is otherwise not evident with conventional MRI? In patients with atonic seizures, transection of the anterior 2/3 of the corpus callosum resulted in a markedly similar evolution of the diffusion eigenvalues as shown in the experimental models, namely reduction of  $\lambda_{\parallel}$  with

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little change of  $\lambda$  at 1 week and then major increases of  $\lambda$  at 2 months (Concha et al., 2006). However, the pattern and time course of water diffusion within the first week following white matter injury remain unknown in human brain. Surgical transection studies such as this have the advantage of being able to perform pre-operative DTI and have a definitive injury to the tract at a precise time.

Anterior temporal lobectomy (ATL) and selective amygdalo-hippocampectomy (SelAH) are surgical treatments for intractable temporal lobe epilepsy (TLE). In standard ATL, the anterior portion of the temporal lobe is completely removed while during SelAH, mesial temporal structures that are involved with seizures are selectively removed and much of the lateral temporal lobe is spared with the goal of reducing postoperative morbidity (Wheatley, 2008). During both procedures, the crus of the fornix is completely resected anterior to the surgical margin under direct visualization with hippocampal efferent fibers originating anterior to the resection margin expected to then undergo Wallerian degeneration. Other DTI studies have shown post-surgical reductions of anisotropy in the ipsilateral fornix of epilepsy patients; however, the diffusion parameters have been measured greater than 2 months post-surgery (Concha et al., 2007; McDonald et al., 2010; Nguyen et al., 2011; Yogarajah et al., 2010). While there are challenges in performing MRI on patients within the first week after brain surgery, this time frame is needed to understand what diffusion changes occur at the early phases of Wallerian degeneration. The objective of this study was to assess the acute changes of water diffusion in a white matter bundle, namely the ipsilateral crus of the fimbria-fornix, after a transection injury in temporal lobe epilepsy patients.

## Material and methods

Approval of the research protocol was obtained from the University of Alberta Health Research Ethics Board, and informed consent was obtained from all participants.

## Subjects

Six patients with medically intractable epilepsy as well as three healthy individuals were included in this study. Sutures were used instead of the conventional staples to enable the MRI scanning post-surgery. All patients were entirely seizure free after surgery throughout the imaging period. The postoperative T1 weighted MPRAGE scans clearly show the surgical cavities (Fig. 1). Surgical pathology was available in four of six subjects. For the remaining two subjects, hippocampal T2 relaxometry, which has been demonstrated to correlate strongly with hippocampal sclerosis, was used to define presumptive hippocampal sclerosis using a T2 value of  $>120$  ms (Concha et al., 2005a, 2009).

Patient 1 (33 years, right-handed male) suffered medically refractory complex partial seizure originating from the left mesiotemporal lobe as revealed by EEG-video telemetry. Clinical MRI showed clear evidence of left mesiotemporal sclerosis. The patient demonstrated elevated T2 relaxometry value in the left hippocampus (left 128 ms, right 113 ms). He underwent left selective amygdalohippocampectomy and was scanned with our imaging protocol 6 months before surgery and 1, 2, 3, 6 days, 2 months, and 4 months after surgery. Post-operative histological examination of the resected hippocampus confirmed typical hippocampal sclerosis.

Patient 2 (55 years, right-handed female) suffered frequent generalized and complex partial seizures. Intracranial EEG-video telemetry demonstrated an epileptic generator in the left mesiotemporal region. Clinical MRI showed diffuse atrophy without obvious focal lateralized structural abnormality. The patient demonstrated elevated T2 relaxometry value in the left hippocampus (left 126 ms, right 110 ms). She underwent left anterior temporal lobectomy and was scanned 3 months before surgery and 2, 3, 6, 7 days, and 2 months

after surgery. Post-operative histological examination of the resected left hippocampus confirmed typical hippocampal sclerosis.

Patient 3 (45 years, right-handed male) suffered typical complex partial seizures and was demonstrated to have a right temporal ictal generator on EEG-video telemetry. Clinical MRI showed clear evidence of right mesiotemporal sclerosis. The patient demonstrated elevated T2 relaxometry value in the right hippocampus (left 114 ms, right 139 ms). He underwent right selective amygdalohippocampectomy and was scanned 12 months before surgery and 1, 5, 6 days, and 2 months after surgery. Post-operative histological examination of the resected right hippocampus confirmed hippocampal sclerosis.

Patient 4 (39 years, right-handed female) suffered medically refractory complex partial seizures originating from the right temporal lobe detected with EEG-video telemetry. Clinical MRI showed increased signal in right hippocampus consistent with MTS, however the patient did not show elevated T2 relaxometry value in either side of the hippocampus (left 114 ms, right 113 ms). She underwent right anterior temporal lobectomy and was scanned 7 months before surgery and 1, 2, 3, 7 days, and 2 months after surgery. Post-operative histological examination of the resected right hippocampus showed no evidence of hippocampal sclerosis.

Patient 5 (29 years, left-handed male) suffered complex partial seizures with a focal ictal onset in the right temporal lobe demonstrated on EEG-video telemetry. Clinical MRI suggested right hippocampal sclerosis; however, the patient did not present elevated T2 relaxometry value in either side of the hippocampus (left 113 ms, right 103 ms). He underwent right anterior temporal lobectomy and was scanned twice (10 months and 4 days) before surgery and 1, 2, 3, 6 days, 1 month, and 4 months after surgery.

Patient 6 (26 years, left-handed male) suffered complex partial seizures with ictal and interictal epileptic discharges confined to the left temporal lobe as demonstrated with EEG-video telemetry. Clinical MRI suggested left hippocampal sclerosis. The patient demonstrated elevated T2 relaxometry value in the left hippocampus (left 148 ms, right 114 ms). He underwent left anterior temporal lobectomy and was scanned 1 month before surgery and 1, 2, 3, 6 days, and 2 months after surgery.

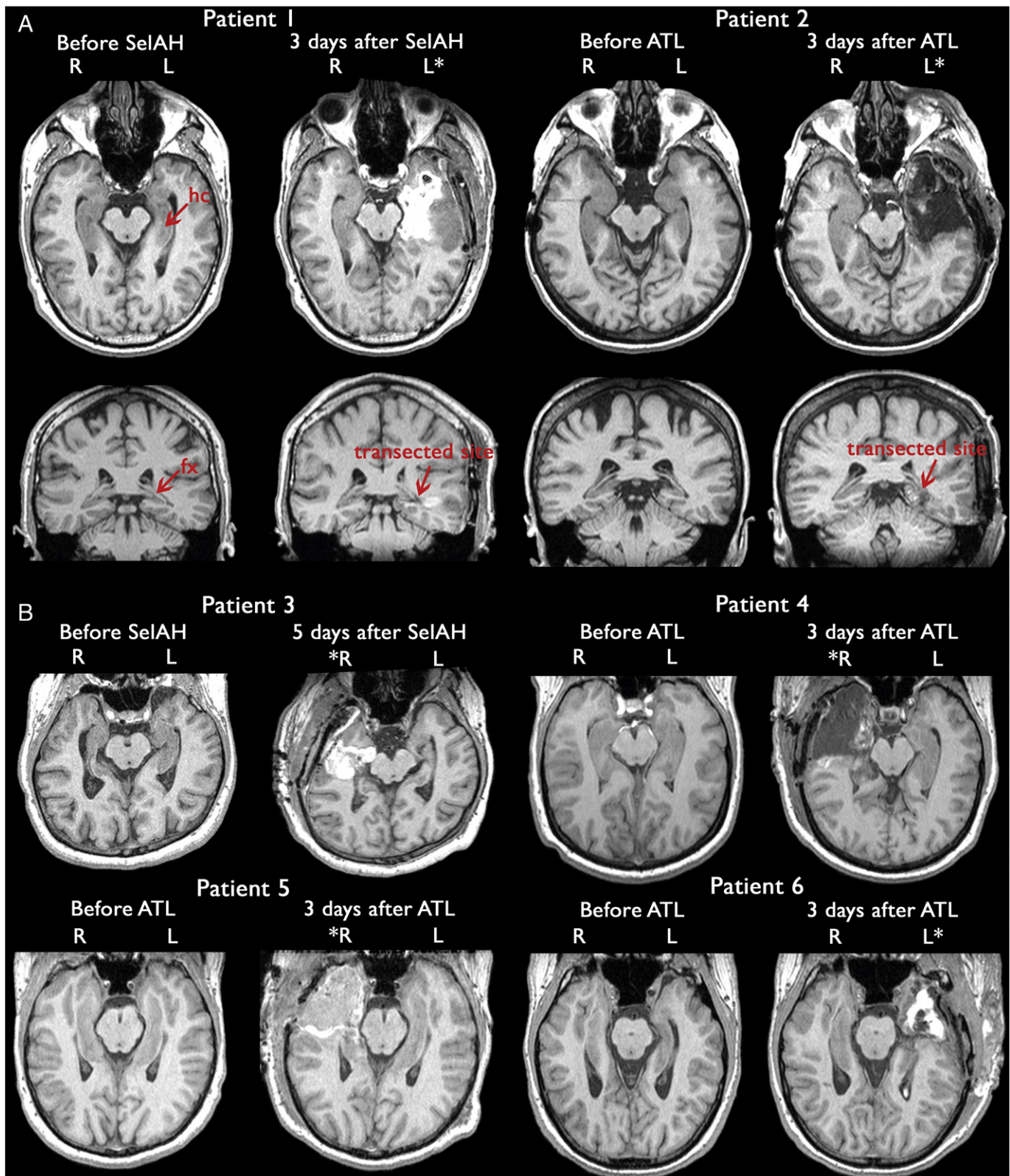
Three controls (age: 20, 22 and 33 years, all right-handed male) were scanned six times corresponding to the scan time points in patients: initial, and 1, 2, 3, 6 days and 2 months after to investigate the variability of the diffusion measurements in the absence of surgery. One scan acquired at 1 day after the initial time point from a control was excluded due to a MRI data acquisition problem. A total of 17 scans from controls were used in the variability analysis.

## Image acquisition

Fluid-attenuated inversion recovery (FLAIR) DTI was performed on a 1.5T Siemens Sonata (Erlangen, Germany) using a dual spin-echo, single shot echo planar imaging sequence with the following parameters: 2 mm thick slices with no inter-slice gap, TR = 10 s, TE = 88 ms, TI = 2200 ms, acquisition matrix =  $128 \times 128$  with 75% phase partial Fourier (interpolated to  $256 \times 256$ ), FOV =  $256 \text{ mm} \times 256 \text{ mm}$ , voxel dimension  $2 \times 2 \times 2 \text{ mm}^3$  (interpolated to  $1 \times 1 \times 2 \text{ mm}^3$ ), 26 axial slices with coverage of fornices, 6 diffusion directions,  $b = 1000 \text{ s/mm}^2$ , 8 averages, and scan time = 8:30 min (Concha et al., 2005b). The FLAIR DTI was adopted for its advantages of suppressing signal from cerebrospinal fluid and minimizing partial volume artifacts (Papadakis et al., 2002), which is very important for the fornix (Concha et al., 2005b). The SNR of the non-diffusion weighted images in this study was  $\sim 56$ .

## Diffusion tensor tractography and measurements of diffusivity and T2-intensity ratio

Fractional anisotropy and diffusion maps were calculated with DTIstudio V2.4 (Johns Hopkins University, Baltimore, USA). For each



**Fig. 1.** Demonstration of resection site before and after surgery (side indicated by \*) on T1-weighted MPRAGE scans. (A) Axial (top row) and coronal (bottom row) slices on Patient 1 who underwent a left selective amygdalohippocampectomy (SelAH) and Patient 2 who underwent a left anterior temporal lobectomy (ATL). Part of the head of the left hippocampus (hc) was removed and the left fimbria-fornix (fx) was transected in both surgery techniques. (B) Axial slices for the other four patients who underwent surgery.

202 time point before the surgery and within the first week after surgery,  
 203 diffusion tensor tractography of fimbria-fornix crus (fornix for short)  
 204 both ipsilateral and contralateral to the surgery side was performed  
 205 manually and separately using the fiber assignment by continuous

tracking (FACT) algorithm adopted by DTIstudio with FA threshold 206  
 0.25 and angular threshold 70° (Mori et al., 1999). The region-of- 207  
 interests used to select the tracts were drawn on color maps based 208  
 on the methods and anatomy described before (Concha et al., 209

210 2005b). Each fornix was defined as the portion posterior to the most  
 211 anterior coronal slice where the two crura were separated and only  
 212 contained fibers traveling from the corresponding hippocampus to  
 213 the body of the fornix. The manual tracing has an inter-rater reliability  
 214 of 0.80 and intra-rater reliability of 0.81 (Malykhin et al., 2008).  
 215 For the chronic scans, because deterministic tractography was unable  
 216 to trace the middle portion of the fornix which was severely  
 217 degenerated, the pre-surgical non-diffusion-weighted images (i.e.,  
 218  $b_0$  images) in patients or the initial scans in controls were nonlinearly  
 219 registered to their corresponding chronic scans using diffeomorphic  
 220 demons algorithm (Vercauteren et al., 2009) in MedINRIA (v1.6,  
 221 INRIA-Asclepios Research Team, France). The same deformation was  
 222 applied to the tract from the pre-surgical/initial time point to derive  
 223 an approximate fornix for the chronic scans. An FA threshold of 0.25  
 224 was applied to the quantitative analysis of the approximate fornix  
 225 in order to exclude a small number of voxels misregistered to the  
 226 adjacent gray matter. This approach yielded consistent measurements  
 227 for the chronic scans in controls (see section Quantitative analysis);  
 228 however, given the FA threshold, it may lead to an underestimation  
 229 of the change in fornix. Four diffusion parameters including fractional  
 230 anisotropy (FA), mean diffusivity (MD),  $\lambda_{\parallel}$  and  $\lambda_{\perp}$  were obtained by  
 231 overlaying the tracts on the corresponding diffusion maps and aver-  
 232 aging across all voxels occupied by the tracts in order to generate a  
 233 single value for each fornix using an in-house program. The diffusion  
 234 parameters of the left and right fornix were also queried in controls  
 235 by the aforementioned method to define a normal variation range  
 236 of the four diffusion parameters. The occipital callosal fibers that

were not transected during surgery were analyzed as an internal refer-  
 237 ence in patients by the same method since the FLAIR DTI covers this  
 238 structure completely. No apparent diffusion changes were expected  
 239 since occipital callosal fibers are not injured during either anterior  
 240 temporal lobectomy or selective amygdalohippocampectomy. 241

The longitudinal T2-weighted signal intensity of the fornix was  
 242 measured in each tractography defined fornix on the non-diffusion-  
 243 weighted FLAIR images ( $b=0$  s/mm<sup>2</sup>) that have a long echo time  
 244 of 88 ms. To account for scanner variability in different imaging  
 245 sessions, the fornix T2 signal intensity was normalized by the  
 246 non-transected occipital callosal fibers T2 signal intensity, yielding a  
 247 T2-intensity ratio of  $1.01 \pm 0.03$  in the 3 healthy volunteers over all  
 248 time points. 249

#### Quantitative analysis

The absolute difference of later scans relative to the first scan was  
 251 calculated for each side of the fornix of each control. Left and right  
 252 fornices showed similar longitudinal variability for all diffusion param-  
 253 eters in the healthy controls (Fig. 2). The normal variation range  
 254 was defined as twice the average absolute deviation relative to zero  
 255 for all three controls which was 0.031 for FA,  $0.033 \times 10^{-3}$  mm<sup>2</sup>/s  
 256 for MD,  $0.063 \times 10^{-3}$  mm<sup>2</sup>/s for  $\lambda_{\parallel}$ ,  $0.031 \times 10^{-3}$  mm<sup>2</sup>/s for  $\lambda_{\perp}$ , and  
 257 0.049 for T2-intensity ratio. For patients, the absolute difference of  
 258 each post-surgery scan relative to the pre-surgical baseline was calcu-  
 259 lated to query the longitudinal alteration of the ipsilateral and 260

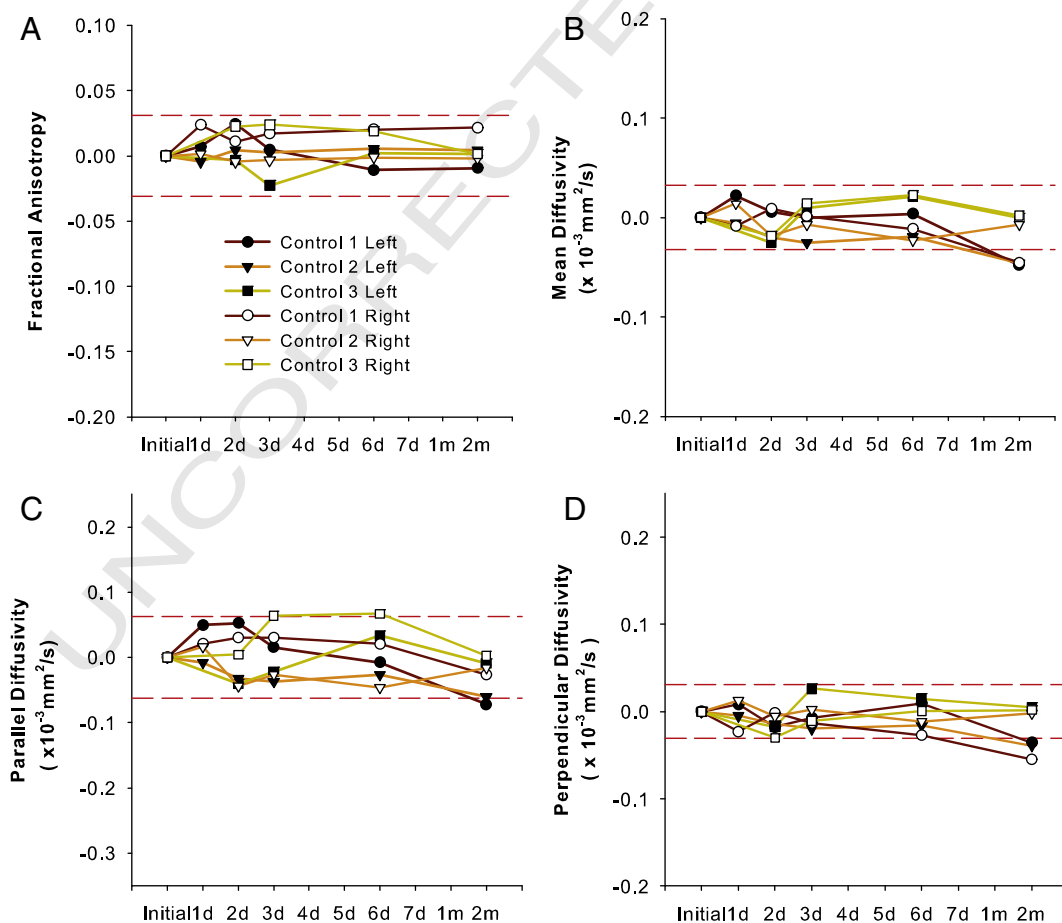


Fig. 2. Time course of normalized DTI parameters of crus of fimbria-fornices in each hemisphere from three controls repeatedly measured over a similar time span as patients. The four DTI parameters were normalized to the first scan. The normal variation range was defined as twice the average absolute deviation from the initial time point of the six fornices (shown by the two red dashed lines). Vertical scales are kept the same as subsequent patient plots.

261 contralateral fornix separately as well as occipital callosal fibers. The  
262 change was considered significant if it surpassed the normal variation  
263 range.

## 264 Results

### 265 Qualitative visualization of diffusion changes on tractography

266 As expected, the non-transected callosal fibers of patients showed  
267 minimal changes of the four diffusion parameters by visual inspection  
268 of the color-coded tracts (Fig. 3). There was some small variation of  
269 the shape of the fornix at different time points in patients within the  
270 first week resulting from the displacement of brain after surgery  
271 (Fig. 4) yet the tract volume did not differ from each other by more  
272 than 10% (data not shown). It was not possible to measure the  
273 fornix volume at the chronic stage as the low FA values made the  
274 tractography algorithm ineffective. The four diffusion parameters of  
275 the ipsilateral fornix showed unique changes over time (details in  
276 section Quantitative assessment of fornix parameters post-surgery  
277 below). All parts of the ipsilateral crus of the fornix appeared to demon-  
278 strate simultaneous changes, rather than a graded change along the  
279 tract. These changes were similar for patients who underwent either  
280 anterior temporal lobectomy or selective amygdalohippocampectomy.  
281 The diffusion maps of the contralateral fornix (not shown) appeared  
282 quite consistent over time.

### 283 Quantitative assessment of fornix parameters post-surgery

284 All four diffusion parameters were within the normal variation  
285 range in the non-transected occipital callosal fibers over all time  
286 points (Fig. 5). The mean percentage of the absolute variation across

287 all time points and patients was 2% for FA (range: 1–3%), 2% for MD  
288 (range: 1–2%), 2% for  $\lambda_{||}$  (range: 0.1–2%) and 3% for  $\lambda_{\perp}$  (range: 2–4%).

289 In contrast, the transected ipsilateral fornix crus had alterations in  
290 the four diffusion parameters post-surgery, although with different  
291 timing patterns (individual participant data normalized to their first  
292 scan in Fig. 6). The FA was relatively stable within the first week  
293 after surgery except Patient 1 that showed a decrease beyond normal  
294 variation range at 6 days. All six patients had major reductions of FA  
295 (19%, range 10–30%) at 1–4 months post-operative. In contrast, im-  
296 mediate reductions of MD,  $\lambda_{||}$ , and/or  $\lambda_{\perp}$  were observed in all six pa-  
297 tients as early as the first one or two days after surgery. Specifically,  
298 MD was reduced within the first two days (reduced by 8%, range:  
299 4–13%) and rebounded back to some extent at 6–7 days post-  
300 operative yet still remained lower than the initial. At 1–4 months, 300  
301 five out of six patients showed an elevated MD (7%, range: 4–12%)  
302 with only Patient 3 presenting MD at pre-surgery levels. A reduction  
303 of  $\lambda_{||}$  occurred in the first two days for five out of six patients  
304 (reduced by 10%, range: 5–15%) except Patient 5 that remained within  
305 the normal variation range. The  $\lambda_{||}$  stayed low for the rest of the  
306 week. At the chronic stage,  $\lambda_{||}$  remained low in four of six patients  
307 and did not reverse back to baseline, but Patients 2 and 5 rebounded  
308 back to the pre-surgical level. For  $\lambda_{\perp}$ , an acute reduction occurred at  
309 1–2 days after surgery (8%; range: 6–11%) for five of six patients,  
310 which then showed a rebound pattern closer to baseline by the end  
311 of the first week whereas Patient 4 remained within the normal vari-  
312 ation over the first week. At 6–7 days,  $\lambda_{\perp}$  in four patients recovered to  
313 pre-surgical level while  $\lambda_{\perp}$  in Patients 2 and 3 stayed unrecovered  
314 (reduced by 9% and 6%, respectively). At 2 months post-operation,  
315  $\lambda_{\perp}$  increased beyond pre-surgical levels in all patients (increased by  
316 17%, range: 10–33%).

317 In contrast, the contralateral fornix showed very little change over  
318 time (Fig. 7) in five of six patients with the exception of Patient 1. 318

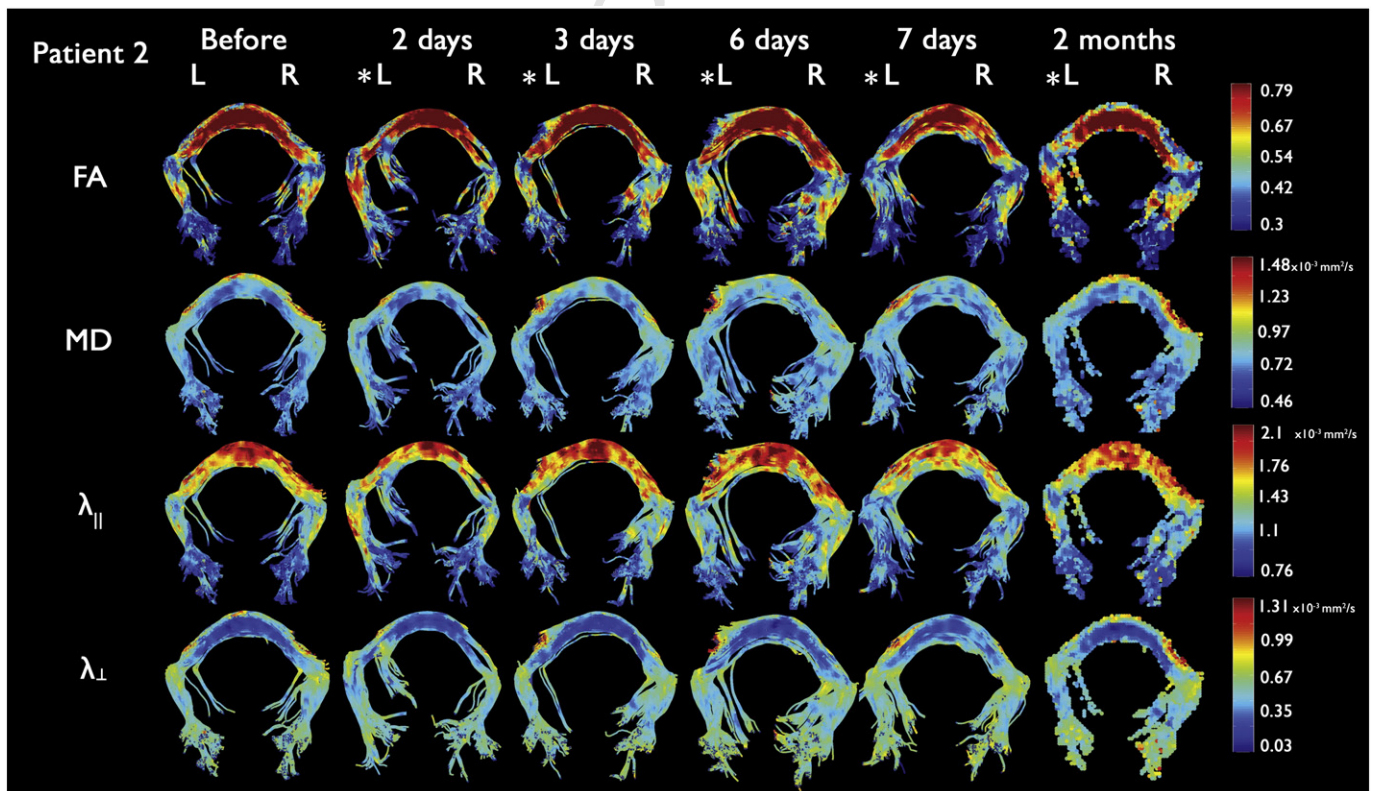
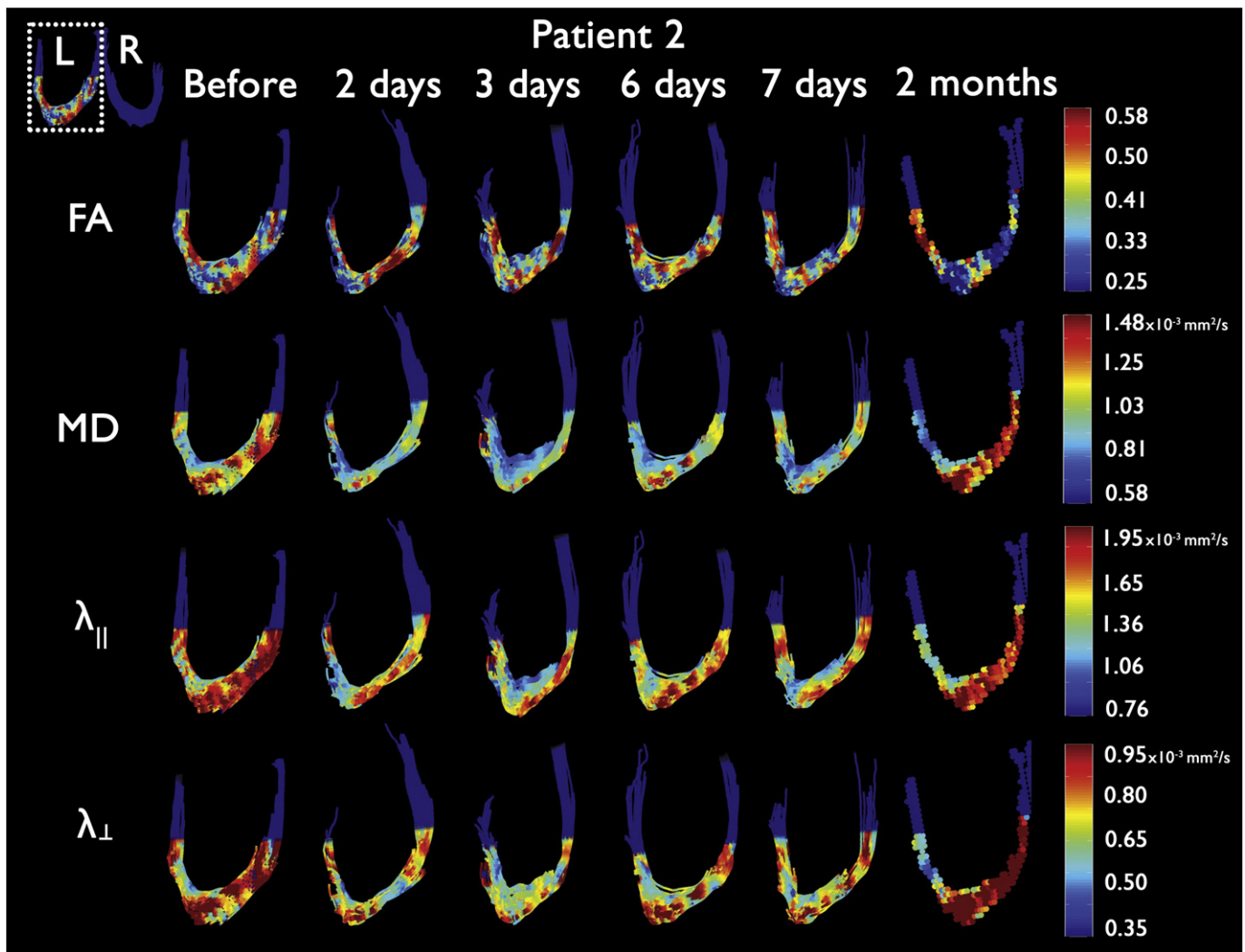


Fig. 3. Visualization of non-transected occipital callosal fibers (viewed from above) before and after left anterior temporal lobectomy surgery in Patient 2 where FA, MD,  $\lambda_{||}$ , and  $\lambda_{\perp}$  values are color-coded for each voxel. The parameters showed minimal changes as expected. The occipital callosal fibers at 2 months were coregistered from the pre-surgical fibers and are displayed as voxels rather than streamlines at the earlier time points. L, left; R, right. The surgery side is marked by asterisks.



**Fig. 4.** Visualization of the ipsilateral/left crus of fimbria-fornices (viewed from above) before and after surgery in Patient 2 where FA, MD,  $\lambda_{||}$ , and  $\lambda_{\perp}$  values are color-coded for each voxel. The non-measured part (i.e. the body of fornix and the fimbria-fornix adjacent to hippocampi) is colored in uniform dark purple. The FA was relatively stable within the first week after surgery and decreased at 2 months. The  $\lambda_{||}$ ,  $\lambda_{\perp}$ , and MD were reduced at 2 days, stayed low up to 7 days, and then increased at least to ( $\lambda_{||}$ ) or beyond ( $\lambda_{\perp}$ , MD) the pre-surgical values at 2 months.

319 Patient 1, who had a left selective amygdalohippampectomy, dem-  
 320 onstrated FA reduction and MD and  $\lambda$  elevation beyond the normal  
 321 variation range from 6 days to 4 months. Notably, Patient 1 also  
 322 showed the greatest FA reductions in the ipsilateral fornix. Patient 2  
 323 and Patient 3 showed some changes slightly beyond the normal vari-  
 324 ation range at one or two time points but they were not consistent  
 325 over time.

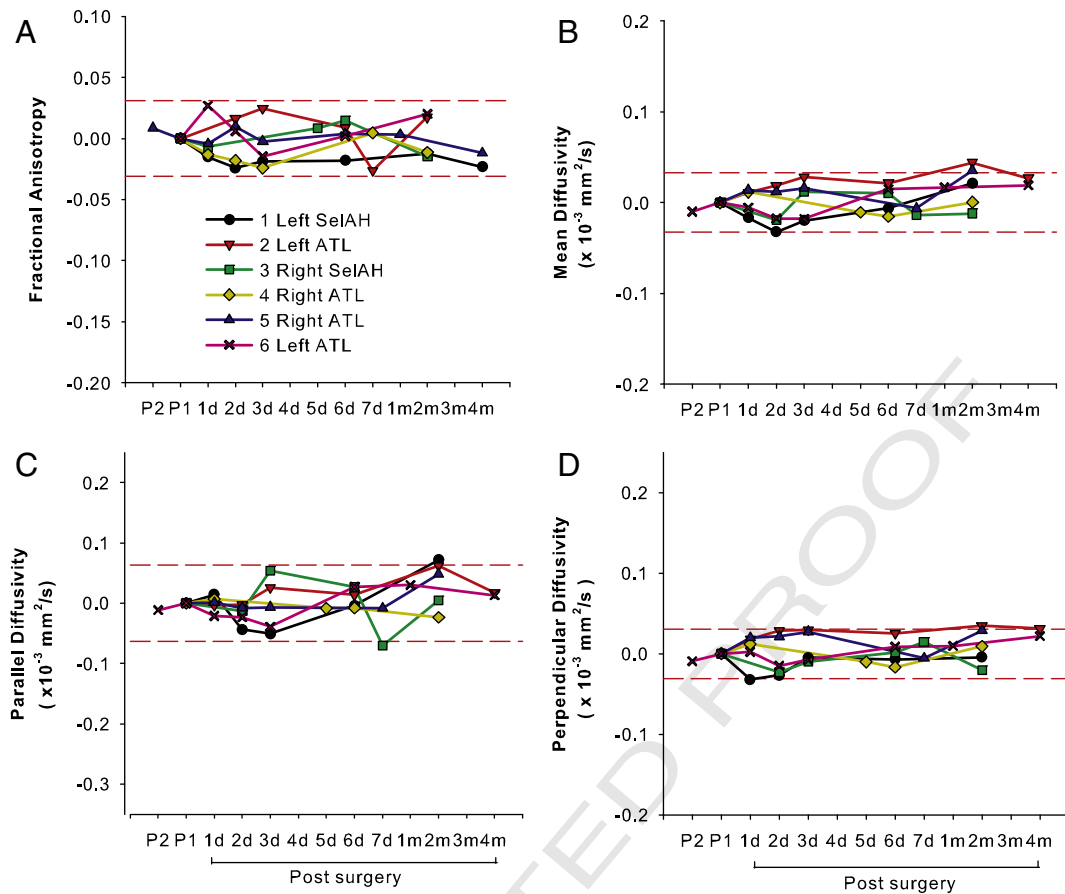
326 An increase of the normalized ipsilateral fornix T2-intensity (nor-  
 327 malized to the non-transected occipital corpus callosum) was ob-  
 328 served within the first two days after surgery (increased by 10%,  
 329 range: 8–16%) except Patient 4 who showed a slight decrease of 5%  
 330 (Fig. 8A). T2-intensity peaked at two days and gradually returned to  
 331 its pre-surgical level in four out of six patients at the end of the first  
 332 week except Patients 2 and 3. At 1–4 months, the ratio in four  
 333 patients totally returned to its pre-surgical state while Patients 4  
 334 and 6 had a decrease of 11% and 7%, respectively. The contralateral  
 335 fornix of five patients had relatively stable T2-intensity ratios except  
 336 Patient 6 who showed a consistent ~6% decrease post-surgery  
 337 (Fig. 8B).

338 Compared to Patients 1–3 and 6 who demonstrated T2 evidence of  
 339 hippocampal sclerosis, Patients 4 and 5 who did not exhibit T2 evi-  
 340 dence of hippocampal sclerosis showed an absolute reduction of

mean, parallel and perpendicular diffusivities to a lesser extent within  
 the first week after surgery (Fig. 9); in many cases the values were  
 still within the normal variation range of controls.

## Discussion

341 Wallerian degeneration can be caused by a variety of axonal inju-  
 342 ries such as trauma, ischemia, metabolic abnormalities, toxins and in-  
 343 flammation (Coleman and Perry, 2002; Raff et al., 2002; Vargas and  
 344 Barres, 2007). It is characterized by a series of chronological events,  
 345 namely, axonal degeneration at both the proximal and distal ends  
 346 as early as 30 min post injury (Kerschensteiner et al., 2005), axonal  
 347 beading and swelling close to the injury site between 1 and 48 h  
 348 (Beirowski et al., 2010; George et al., 1995; Sievers et al., 2003; Zhai  
 349 et al., 2003), granular disintegration of axonal cytoskeleton result-  
 350 ing in axon fragmentation with the initiation of narrowing and dilating  
 351 myelin sheath, myelin ovoid formation, and clearance of myelin de-  
 352 bris in the long term (George and Griffin, 1994). In this study, distinct  
 353 acute (1–7 days) and chronic (1–4 months) water diffusion changes  
 354 were shown after transection of the ipsilateral fornix during tempo-  
 355 ral lobe epilepsy surgery. These diffusion changes reflect presumably  
 356 the different stages of Wallerian degeneration. The reduced FA and  
 357 MD,  $\lambda_{||}$ , and  $\lambda_{\perp}$  were reduced at 2 days, stayed low up to 7 days,  
 358 and then increased at least to ( $\lambda_{||}$ ) or beyond ( $\lambda_{\perp}$ , MD) the pre-  
 359 surgical values at 2 months.



**Fig. 5.** Time course of normalized DTI parameters of the non-transected occipital callosal fibers from six patients with temporal lobe epilepsy prior to (P1, P2) and after (days, d and months, m) surgery. The time point prior to surgery serves as a baseline. DTI parameters were normalized by subtracting the baseline value from each time point. The red dashed lines showed the normal variation range defined from repeated measures of three controls spanning a similar period of time. The diffusion parameters showed minimal changes within the normal variation range over time. SelAH, selective amygdalohippocampectomy; ATL, anterior temporal lobectomy.

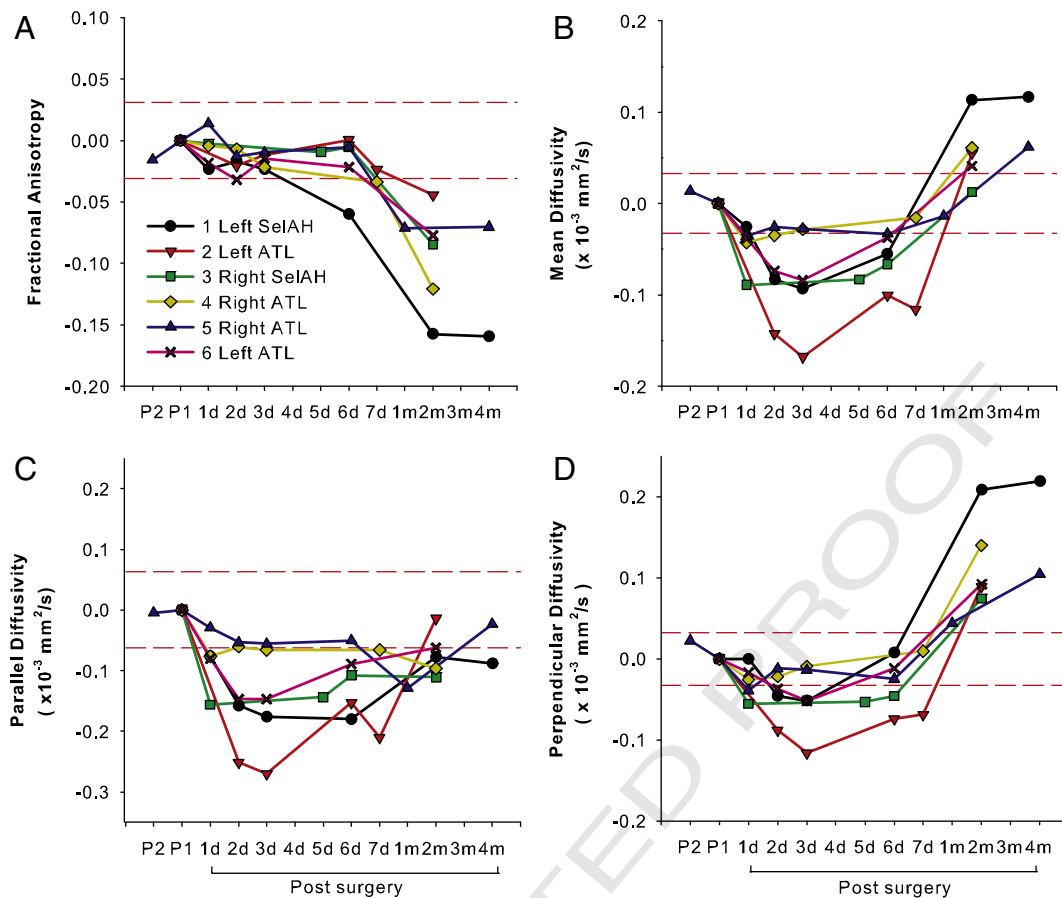
361 elevated perpendicular diffusivity ( $\lambda$ ) were expected at chronic  
 362 stages. The acute reduction of parallel diffusivity ( $\lambda_{||}$ ) within the  
 363 first week is also in line with previous literature, but the concomitant  
 364 reduction of  $\lambda$  yielding reduced mean diffusivity (MD) and preserved  
 365 fractional anisotropy (FA) is a new observation.

#### 366 Acute diffusion changes within the first week post-surgery

367 Temporal lobe surgery serves as a unique opportunity to examine  
 368 the process of Wallerian degeneration since the timing of the transec-  
 369 tion of fimbria-fornix crus is known, requirements not usually met by  
 370 naturally occurring neurological disease. To date, there is a lack of liter-  
 371 ature investigating acute diffusion changes within days of axonal  
 372 transection in human brain; not surprising given the practical issues  
 373 of performing an MRI on a patient so soon after brain surgery. Exper-  
 374 imental models have been used to explore the acute DTI changes post  
 375 injury. An early decrease of  $\lambda_{||}$  and FA without significant increase of  
 376  $\lambda$  until later time points has been reported in the white matter after  
 377 neuronal injury from retinal ischemia (Song et al., 2003; Sun et al.,  
 378 2008), trauma (Kim et al., 2007; Mac Donald et al., 2007a, 2007b;  
 379 Zhang et al., 2009) and experimental autoimmune encephalomyelitis  
 380 (EAE) (Budde et al., 2008). Immunohistochemistry and optical/  
 381 electron microscopy examinations in these studies confirmed axonal  
 382 injury without demyelination at an early post-injury stage, strongly  
 383 suggesting  $\lambda_{||}$  as an imaging marker for axonal degeneration.

384 In contrast, we observed concurrent reduction of both parallel and  
 385 perpendicular diffusivities, which reduces mean diffusivity, with a

relatively stable fractional anisotropy of the transected fornix within 386  
 the first two days after surgery. This acute diffusion change may reflect 387  
 the axonal swelling/spheroid formation immediately after axonal injury. 388  
 Demonstrated recently, axonal swellings arise as soon as 1 h post injury 389  
 starting from the surrounding of the injury to more distal sites after tran- 390  
 section of the optic nerve in rats and mice (Beirowski et al., 2010). Swell- 391  
 ing persists at least for 24 h post injury with clear continuity of the axons 392  
 and no appearance of axonal fragmentation. Confocal imaging revealed 393  
 that axonal swellings extended over the entire corpus callosum fiber 394  
 of the mice by 24 h, marking its rapid progression in the central nervous 395  
 system. A simulation biophysical model showed that the morphometric 396  
 changes of axons such as neurite beading was sufficient enough to hinder 397  
 water mobility and thereby decrease  $\lambda_{||}$  in both the intra- and extra- 398  
 cellular compartments and  $\lambda$  mainly in the extracellular compartment 399  
 (Budde and Frank, 2010). The simulations predicted that  $\lambda_{||}$  would de- 400  
 crease to a greater extent than  $\lambda$ ; this was confirmed in axons injured 401  
 by stretching although FA was not significantly reduced. However, 402  
 here the transected fornix showed similar percentage reductions of 403  
 both  $\lambda_{||}$  and  $\lambda$  also leading to a lack of change of FA in the first week. 404  
 These reduced diffusion coefficients, particularly parallel, are consistent 405  
 with disintegration of the axonal cytoskeleton. It is unclear why earlier 406  
 experimental studies performing DTI within days after injury do not ob- 407  
 serve a reduction of  $\lambda$ . This may be partly due to the fact that the mag- 408  
 nitude of  $\lambda$  in rodent white matter is only about one-fifth of  $\lambda_{||}$  which 409  
 increases the difficulty of detecting a change in  $\lambda$  (Xu et al., 2008), 410  
 while the magnitude of  $\lambda$  in the fornix in human is only about half of 411  
 the magnitude of  $\lambda_{||}$  (Table 1). 412



**Fig. 6.** Time course of normalized DTI parameters of the ipsilateral crus of fimbria-fornices from six patients with temporal lobe epilepsy prior to (P1, P2) and after (days, d and months, m) surgery. DTI parameters post-surgery were normalized by subtracting the baseline value prior to surgery. The red dashed lines show the normal variation range defined from repeated measures of three controls spanning a similar period of time. (A) The FA was relatively stable within the first week after surgery and dramatically decreased at 1–4 months. (B) The MD dropped markedly within the first two days, recovered slightly but still remained lower than the pre-surgical level at the end of the first week and increased at 1–4 months. (C) The  $\lambda_{\parallel}$  decreased within the first two days, stayed low at the end of the first week, and rebounded slightly but remained still lower than the pre-surgical level at 1–4 months. (D) The  $\lambda_{\perp}$  decreased within the first two days, recovered to its pre-surgical level in most cases at the end of the first week, and increased markedly at 1–4 months. SelAH, selective amygdalohippocampectomy; ATL, anterior temporal lobectomy.

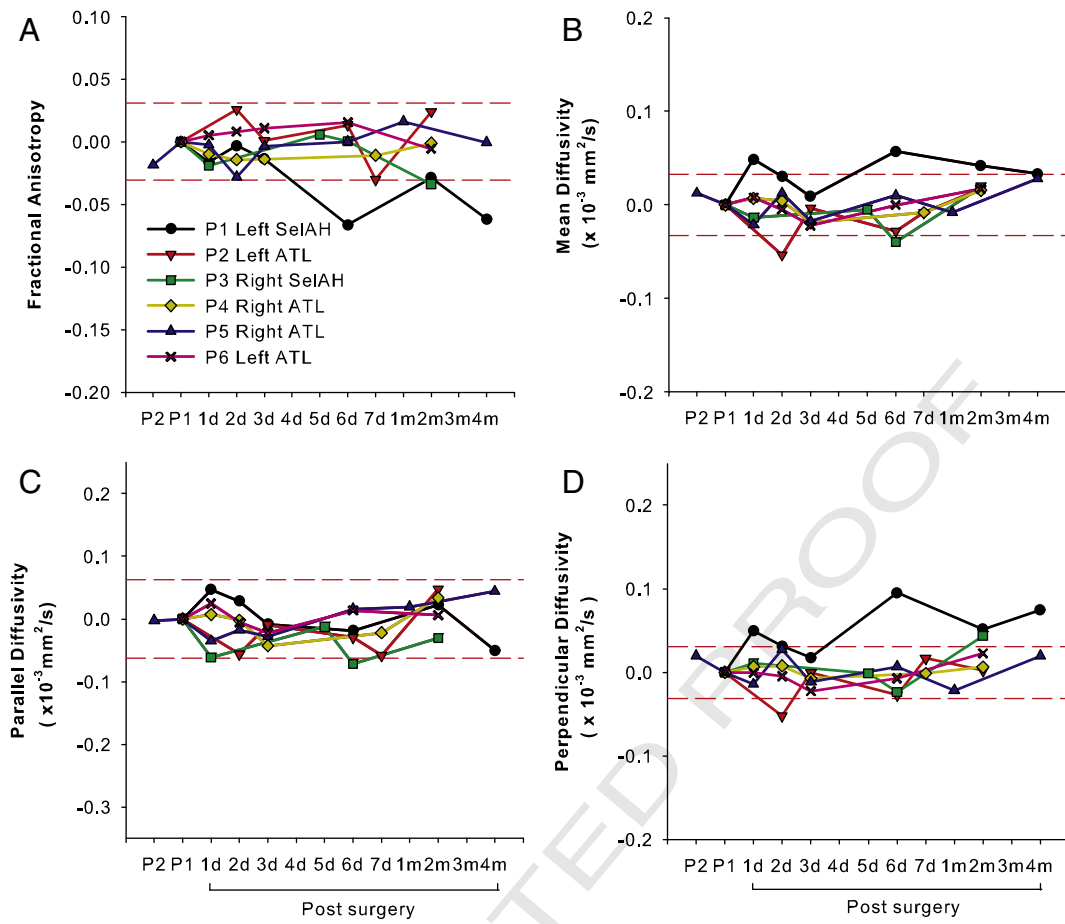
Alternatively, the concomitant reduction of both parallel and perpendicular diffusivities in the transected fornix at 1–2 days post-surgery could also be a result of other factors such as inflammation and cytotoxic edema. Inflammation has been shown to occur rapidly at the nerve distal stump including microglia activation, macrophage infiltration and massive astrocytic reaction within hours after neural injury such as transection of the fornix in the rat (Stichel and Muller, 1994). The influx of a large population of “isotropic” glial cells in the injured tract could concurrently reduce both parallel and perpendicular diffusivities. Although the major blood supply to the fornix, i.e. medial central arteries, was not injured during surgery, the hippocampal transection might cause some vasospasm in the anterior choroidal artery that could lead to ischemia in the fornix. Our observation of a limited decrease of MD (~8%) within the first two days after surgery is not of sufficient magnitude to be attributed to cytotoxic edema alone. Vasogenic edema as a result of surgery may counteract reductions of diffusion due to the Wallerian degeneration mechanisms, cytotoxic edema, or inflammation. The elevated T2-weighted signal intensity of the ipsilateral fornix in the first few days after surgery (Fig. 8) and its resolution at a week is consistent with vasogenic edema. Overall, all the above mentioned physiological processes could happen with Wallerian degeneration simultaneously and play a part in the acute diffusion changes we observed in the study.

It is interesting to note that Patients 1, 2, 3 and 6, who presented with histologically confirmed hippocampal sclerosis or elevated T2

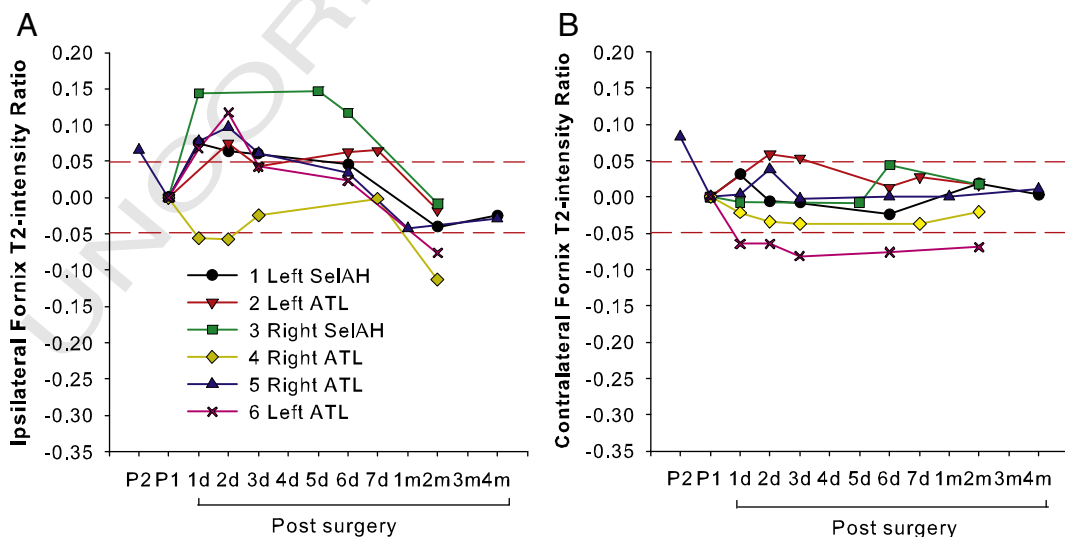
relaxometry value, showed a greater reduction of the diffusivities at 1–2 days after surgery than Patients 4 and 5 who did not present with evidence of hippocampal sclerosis (Fig. 9). Electron microscopy of the excised fimbria-fornix has shown that TLE patients with hippocampal sclerosis have increased extra-axonal space, less myelin fraction, and decreased number of axons in the fimbria-fornix compared to patients without hippocampal sclerosis (Concha et al., 2010). While a larger sample size is needed to confirm the apparent differences in the longitudinal diffusion parameters post-surgery in patients with and without hippocampal sclerosis, these findings suggest that the acute diffusion changes (less than a week after surgery) may be driven by extra-axonal processes (inflammation etc.).

At 6–7 days after transection, parallel diffusivity remains reduced in all six subjects. This is in contrast to perpendicular diffusivity where 4 of 6 subjects pseudo-normalize to within the normal range at this time point. This may be due to persistent discontinuities along the disrupted tracts whereas demyelination and reduced membrane integrity may be slowly causing perpendicular diffusion to increase towards its chronic elevated values. This result of reduced parallel diffusivity and relatively unchanged perpendicular diffusivity fits well with our earlier finding of the corpus callosum one week after its transection (Concha et al., 2006); although there the corpus callosum showed slightly elevated  $\lambda$  at 1 week. Note that no scans were performed within six days after corpus callosotomy and it is unknown whether similar reductions of  $\lambda$  would have been observed.

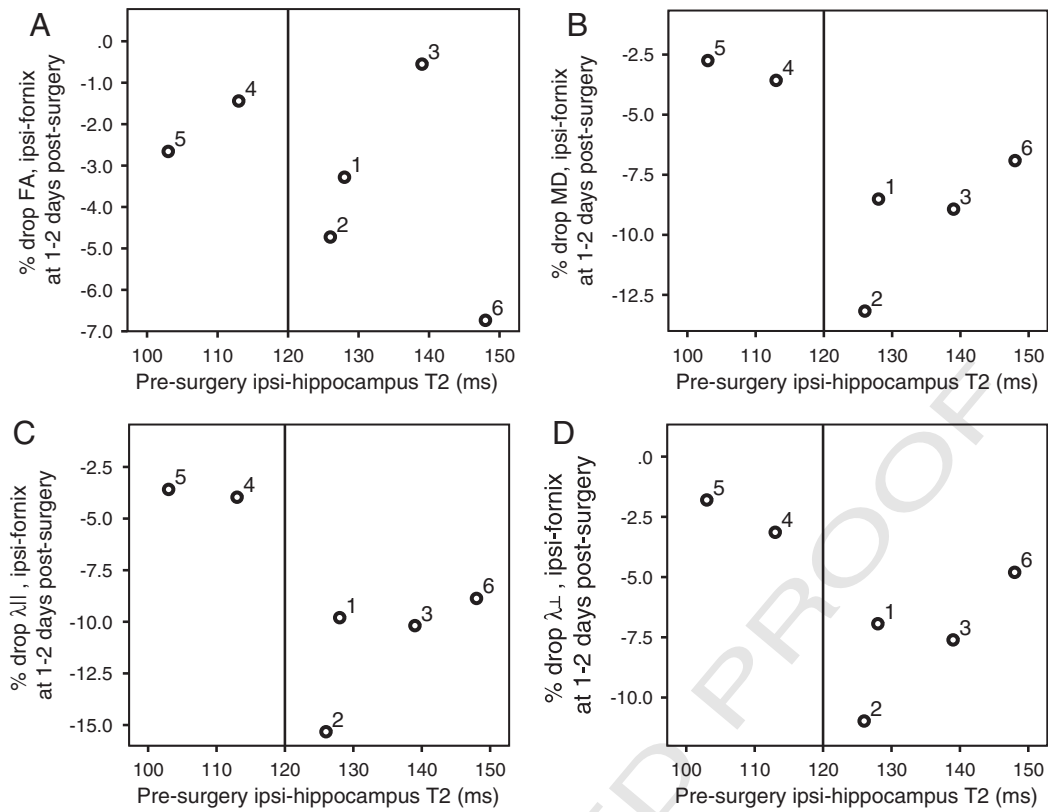




**Fig. 7.** Time course of normalized DTI parameters of the contralateral crus of fimbria-fornices from six patients with temporal lobe epilepsy before and after surgery. Although most measurements were within the normal variation, the main exception was Patient 1 with decreased FA and elevated MD and  $\lambda$  beyond the normal variation range at a number of time points after surgery. SelAH, selective amygdalohippocampectomy; ATL, anterior temporal lobectomy.



**Fig. 8.** Time course of normalized T2-weighted intensity from b0 images of the ipsilateral (A) and contralateral (B) crus of fimbria-fornices (normalized to occipital corpus callosum T2-weighted intensity per scan) from six patients with temporal lobe epilepsy before and after surgery. The time point prior to surgery serves as a baseline and was subtracted from each time point. The red dashed lines show the normal variation range defined from repeated measures of three controls spanning a similar period of time. (A) The ipsilateral fornix T2-intensity ratio immediately increased within the first two days after surgery and then returned to its pre-surgical level at 1–4 months in four out of six patients and below normal at 1–4 months in Patients 4 and 6. Notably, Patient 4 did not show increases of T2-intensity at any time point. (B) The contralateral fornix T2-intensity ratio was relatively stable over time in five out of six patients, but was actually reduced in Patient 6 consistently over time. SelAH, selective amygdalohippocampectomy; ATL, anterior temporal lobectomy.



**Fig. 9.** Scatter plots of percentage reduction of ipsilateral diffusion parameters of fornix at 1–2 days after surgery (the data at 2 days was used if both data at 1 and 2 days were available) versus pre-surgical ipsilateral T2 of hippocampus. Patients 1–3 and 6 had elevated ipsilateral T2 above 120 ms, among whom Patients 1–3 had histologically confirmed hippocampal sclerosis. Notably, Patients 4 and 5 did not show elevated T2 (and patient 4 had no histological evidence of hippocampal sclerosis) but showed less reduction of mean, parallel and perpendicular diffusivities acutely.

463 *Chronic diffusion changes post-surgery*

464 Past efforts have focused mainly on chronic diffusion changes of  
 465 white matter tracts several months or years after transection in epi-  
 466 lepsy patients (Concha et al., 2007; McDonald et al., 2010; Nguyen  
 467 et al., 2011; Schoene-Bake et al., 2009; Taoka et al., 2005; Wiesmann  
 468 et al., 1999; Yogarajah et al., 2010). Our findings of reduced fractional  
 469 anisotropy and elevated mean diffusivity in the ipsilateral fornix  
 470 1–4 months after surgery are in agreement with the previous studies  
 471 that compared post- to pre-operative fornix as early as 2 months after

anterior temporal lobectomy (Concha et al., 2007; McDonald et al., 472  
 2010; Nguyen et al., 2011; Yogarajah et al., 2010). Interestingly, one 473  
 study acquired DTI at two time points, 2 months and 1 year, and 474  
 the reduction of FA and elevation of MD did not progress further in 475  
 the ipsilateral fornix at 1 year relative to at 2 months following tran- 476  
 section (McDonald et al., 2010), which suggests a completion of 477  
 Wallerian degeneration within 2 months post injury in human central 478  
 nervous system. The same pattern of diffusion changes was also 479  
 observed in other white matter tracts including both directly 480  
 transected (parahippocampal cingulum, uncinat fasciculus, inferior 481

t1.1 **Table 1**  
 t1.2 Baseline diffusion measurements prior to surgery (time point P1) for six patients and three controls.

t1.3		FA	MD (10 <sup>-3</sup> mm <sup>2</sup> /s)	$\lambda_{  }$ (10 <sup>-3</sup> mm <sup>2</sup> /s)	$\lambda$ (10 <sup>-3</sup> mm <sup>2</sup> /s)	FA	MD (10 <sup>-3</sup> mm <sup>2</sup> /s)	$\lambda_{  }$ (10 <sup>-3</sup> mm <sup>2</sup> /s)	$\lambda$ (10 <sup>-3</sup> mm <sup>2</sup> /s)
t1.4									
t1.5		<i>Ipsilateral fornix</i>				<i>Contralateral fornix</i>			
t1.6	Patient 1	0.52	0.98	1.61	0.66	0.54	0.93	1.57	0.61
t1.7	Patient 2	0.43	1.08	1.64	0.80	0.42	1.08	1.62	0.80
t1.8	Patient 3	0.45	1.00	1.53	0.73	0.46	0.97	1.51	0.70
t1.9	Patient 4	0.48	0.97	1.53	0.69	0.47	0.99	1.54	0.71
t1.10	Patient 5	0.49	0.93	1.49	0.66	0.50	0.92	1.48	0.64
t1.11	Patient 6	0.47	1.07	1.66	0.77	0.46	1.04	1.61	0.75
t1.12	Mean	0.47	1.01	1.58	0.72	0.48	0.99	1.56	0.70
t1.13	Std	0.03	0.06	0.07	0.06	0.04	0.06	0.06	0.07
t1.14									
t1.15		<i>Left fornix</i>				<i>Right fornix</i>			
t1.16	Control 1	0.46	0.97	1.51	0.71	0.44	1.00	1.52	0.74
t1.17	Control 2	0.51	0.98	1.60	0.67	0.51	0.98	1.60	0.66
t1.18	Control 3	0.50	0.99	1.61	0.69	0.47	1.00	1.58	0.71
t1.19	Mean	0.49	0.98	1.57	0.69	0.47	0.99	1.57	0.70
t1.20	Std	0.03	0.01	0.06	0.02	0.04	0.01	0.04	0.04

482 longitudinal fasciculus, geniculo-calcarine tracts) and other non-  
 483 transected tracts (inferior fronto-occipital fasciculus, corpus callosum,  
 484 anterior commissure) more than 2 months after anterior temporal lo-  
 485 bectomy (McDonald et al., 2010; Taoka et al., 2005; Yogarajah et al.,  
 486 2010). The chronic FA reduction in this study was driven by both a  
 487 decrease of  $\lambda_{\parallel}$  in 4 of 6 subjects (pseudo-normal in the other 2) and in-  
 488 crease of  $\lambda$  in all subjects, which is compatible with myelin degradation  
 489 and slow clearance of axonal and myelin debris (George and Griffin,  
 490 1994; Vargas and Barres, 2007) and/or the persistence of isotropic  
 491 cells associated with gliosis, as previously shown in a rat model of fornix  
 492 transection (Stichel and Muller, 1994). A previous study on chronic  
 493 stroke patients discussed that the diffusion “signature” of reduced  $\lambda_{\parallel}$ ,  
 494 and elevated  $\lambda$ , and limited increase of MD were consistent with gliosis  
 495 (Pierpaoli et al., 2001).

#### 496 Minimal diffusion change in the contralateral fornix

497 The contralateral fornix, on the other hand, did not show much  
 498 change in five out of six patients. Since fornices contain commissural  
 499 fibers as well as bidirectional fibers linking hippocampus and septal  
 500 regions in each hemisphere, it is unclear whether the contralateral  
 501 fornix would be affected by Wallerian degeneration initiated from  
 502 the cut lesion on the ipsilateral side. From six days to 4 months,  
 503 Patient 1 showed elevated perpendicular (12%) and mean diffusivity  
 504 (5%), normal parallel diffusivity, and reduced FA (10%) in the contra-  
 505 lateral fornix. The lack of T2-intensity changes in this contralateral  
 506 tract in Patient 1 (Fig. 8) would argue against possible edema. The  
 507 remaining five patients did not have alterations of these diffusion pa-  
 508 rameters in the contralateral fornix over this period of time. Previous  
 509 findings regarding the contralateral fornix at the chronic stage vary.  
 510 In accordance with the current observation, our previous study did  
 511 not observe significant diffusion changes of the contralateral side at  
 512 1 year post-surgery in comparison with its presurgical level  
 513 (Concha et al., 2007). On the other hand, significant FA reduction in  
 514 the contralateral fornix, was observed at 2 months post-surgery in  
 515 temporal lobe epilepsy patients, and was sustained at 1 year  
 516 (McDonald et al., 2010). Similarly, relative to pre-surgical measure-  
 517 ments, reduced FA in the contralateral fornix was shown in both  
 518 left and right temporal lobe epilepsy patients at 4.5 months post-  
 519 surgery (Yogarajah et al., 2010). However, increased FA in the con-  
 520 tralateral fornix was reported at 4 months after surgery, suggestive  
 521 of a structural reorganization in response to epilepsy surgery  
 522 (Nguyen et al., 2011). Further investigation is required to elucidate  
 523 the diffusion changes of the contralateral fornix at both acute and  
 524 chronic stages after temporal lobe surgery.

#### 525 Limitations

526 Due to the extreme difficulties of scanning patients within days after  
 527 brain surgery, the sample size of the current study is small. Therefore,  
 528 little can be inferred on potential responses due to type of surgery  
 529 since two had selective amygdalohippocampotomy and four had ante-  
 530 rior temporal lobe resection. However, in the four patients with hippo-  
 531 campal sclerosis, the responses of the diffusion parameters of Patients 1  
 532 and 3 with selective amygdalohippocampotomy is similar to that of  
 533 Patients 2 and 6 with anterior temporal lobe resection (Figs. 6 and 9).  
 534 In addition, more frequent post-operative DTI acquisitions, e.g. between  
 535 7 and 30 days after surgery, might facilitate catching the transition of  
 536 perpendicular diffusivity from reduction to elevation compared to the  
 537 pre-surgical level, which could help in understanding the timing of  
 538 Wallerian degeneration in the central nervous system. Because of se-  
 539 vere Wallerian degeneration at 1–4 months following surgery and sub-  
 540 sequent reduction in FA, a middle portion of the fornix was unable to be  
 541 traced by tractography; instead the fornix measured for the chronic  
 542 scans was nonlinearly deformed from the one tracked from the  
 543 pre-surgical scan based on coregistration of the b0 images between

the two time points. Such transformation might not be accurate due  
 to the brain shift and incomplete resolution of subdural edema at the  
 chronic scans. To help minimize quantitative errors, we attempted to  
 eliminate voxels located outside of the fornix by excluding voxels  
 with fractional anisotropy lower than 0.25; however, this approach  
 may lead to an underestimation of the change in fornix fractional  
 anisotropy (19% change in FA at the chronic time point) since those  
 heavily degenerated tract voxels would be excluded from the overall  
 tract mean. Without setting a fractional anisotropy threshold of 0.25,  
 the fractional anisotropy would be reduced by 29% (range 23–42%);  
 mean diffusivity would be elevated by 12% (range 7–17%) and perpen-  
 dicular diffusivity would be elevated by 29% (range 20–46%) in all pa-  
 tients at the chronic time points. A further explanation for the  
 observation that the changes in diffusion parameters are perhaps less  
 than expected following transection of a fiber bundle is the fact that  
 the portion of fimbria-fornix posterior to the surgical margin was pre-  
 served. While the efferent axons originating from the resected hippo-  
 campus anterior to the surgical margin were expected to undergo  
 Wallerian degeneration, the remaining efferent axons originating  
 from the unresected hippocampus posterior to the surgical margin as  
 well as the afferent axons coming from the septal region were expected  
 to remain intact. As the surgical transection would not disconnect these  
 axons from their cell bodies, the axons would be expected to be pre-  
 served following surgery and not undergo Wallerian degeneration.  
 The presurgical DTI scan of some patients was acquired months before  
 surgery and then served as a baseline for all post-surgical analyses.  
 The time gap in which epilepsy may still evolve and cause seizure re-  
 lated degenerative changes may contribute to the variation seen post-  
 surgically. This concern is partly addressed by the demonstration of  
 similar diffusion parameters derived from the two presurgical scans  
 (at 10 months and 4 days prior to the surgery) for Patient 4.

#### 575 Conclusions

576 In summary, Wallerian degeneration can be followed by diffusion  
 577 tensor imaging. In this paper, we report novel diffusion changes of  
 578 transected white matter in the challenging hyperacute (1–2 days),  
 579 acute (3–7 days), and chronic (1–4 months) periods following tem-  
 580 poral lobe epilepsy surgery in human brain. A unique pattern was ob-  
 581 served in the ipsilateral fimbria-fornix crus featuring a notable  
 582 decrease of both parallel and perpendicular diffusivities within the  
 583 first two days, followed by a pseudo-recovery over the first week,  
 584 and then highly elevated perpendicular diffusion over several months  
 585 with a reduced or normal parallel diffusivity. While the reduced par-  
 586 allel diffusivity (sub)acutely and elevated perpendicular diffusivity  
 587 chronically fit with the notion of using the diffusion eigenvalues as  
 588 markers of axon and myelin health, respectively, the reduced perpen-  
 589 dicular diffusivity in the acute phase is tougher to rationalize but may  
 590 reflect a number of pathologies including axon swelling, increased  
 591 axoplasmic viscosity, ischemia-induced cytotoxic edema, and/or infil-  
 592 tration of inflammatory cells. Fractional anisotropy did not change  
 593 during the first week post-transection necessitating the use of other  
 594 complementary diffusion metrics for monitoring the white matter de-  
 595 generation process in vivo in human brain.

#### 596 Funding

597 This work was supported by Canadian Institutes of Health Research  
 598 (D.W.G. and C.B.); Alberta Innovates-Health Solutions (C.B.); and China  
 599 Scholarship Council (M.L.).

#### 600 Acknowledgments

601 MRI infrastructure from the Canada Foundation for Innovation,  
 602 Alberta Science and Research Authority, Alberta Heritage Foundation  
 603 for Medical Research and the University of Alberta Hospital Foundation.

604 We also thank Drs. Hangyi Jiang and Susumu Mori for kindly providing  
605 DTI software and Dr. Catherine Lebel for technical advice.

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